

# **Electron Tunneling as Trigger for Conformal Changes in Cerebral Microsites**

Friedrich Beck

*Institute of Nuclear Physics, Department of Physics, Darmstadt Technical University,*

*Schlossgartenstrasse 9, D-64289 Darmstadt, Germany*

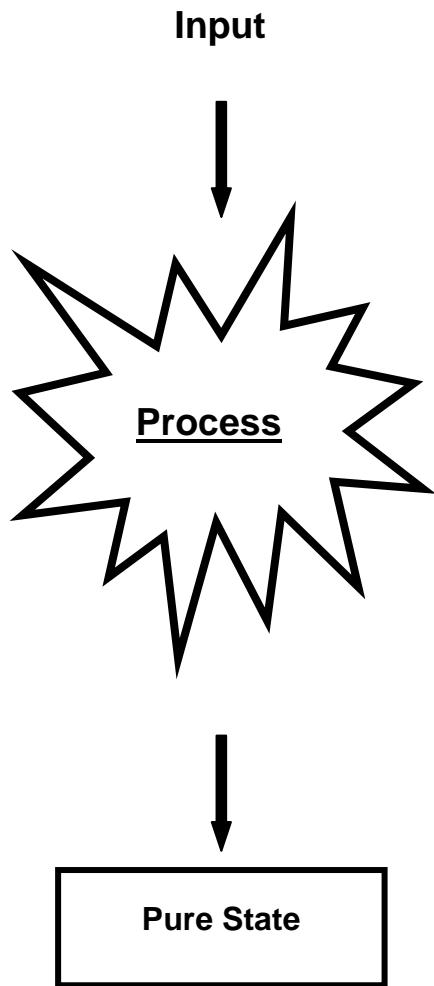
*e-mail: [freder.beck@physik.tu-darmstadt.de](mailto:freder.beck@physik.tu-darmstadt.de)*

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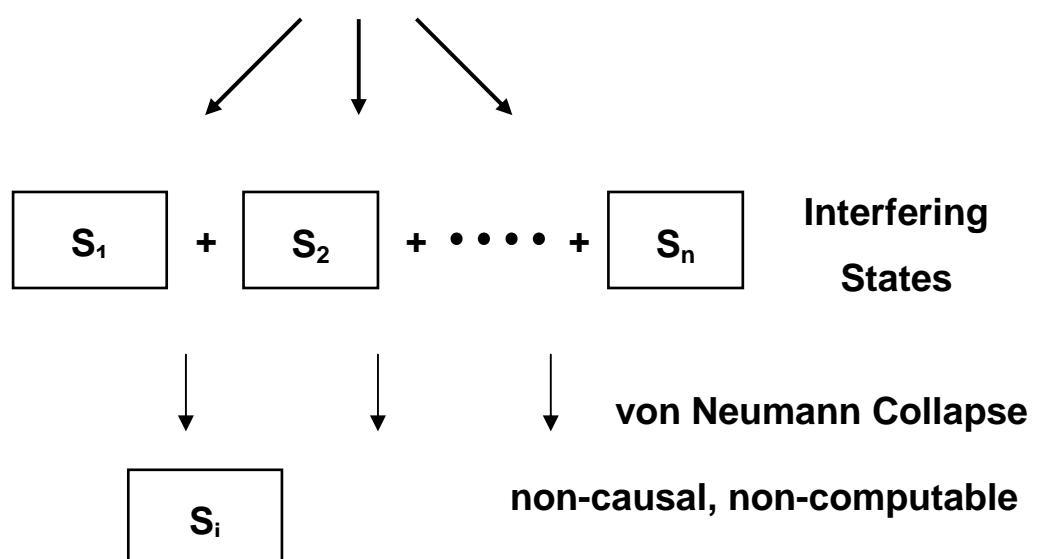
## **Program**

- 1. Introduction: The Essence of Quantum Brain**
- 2. Energy/Time Scales: The Macro/Micro Regimes**
- 3. Neural Regulators: Synapses and Tubulin Proteins**
- 4. Electron Tunneling: Trigger for Conformal Changes**
- 5. The Binding Problem: Spatio-temporal Coherence**
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## 1. The Essence of Quantum Brain



Filtering according to chosen *observable*



## 2. Energy/Time Scales: The Macro/Micro Regimes

**Macrostructure:** *hot and wet medium*

$$T > 300 \text{ } ^\circ\text{K} \quad \rightarrow \quad \textit{thermal fluctuations}$$

**Microstructure:** *embedded into this medium*

*quantum states coupled to a dissipative system !*

**Complex Structures:** search for *time scales* separating dynamics

Two characteristic energies:

(i) *thermal energy*

$$E_{th} = \frac{1}{2} k_B T$$

(ii) *quantal energy*      *quasiparticle*, mass  $m_{eff}$ , localization  $\Delta q$

$$E_{qu} = \frac{(\Delta p)^2}{2 m_{eff}} = \left( \frac{2\pi\hbar}{\Delta q} \right)^2 \frac{1}{2m_{eff}}$$

*breaking point:*       $E_0 = E_{qu} = E_{th}$

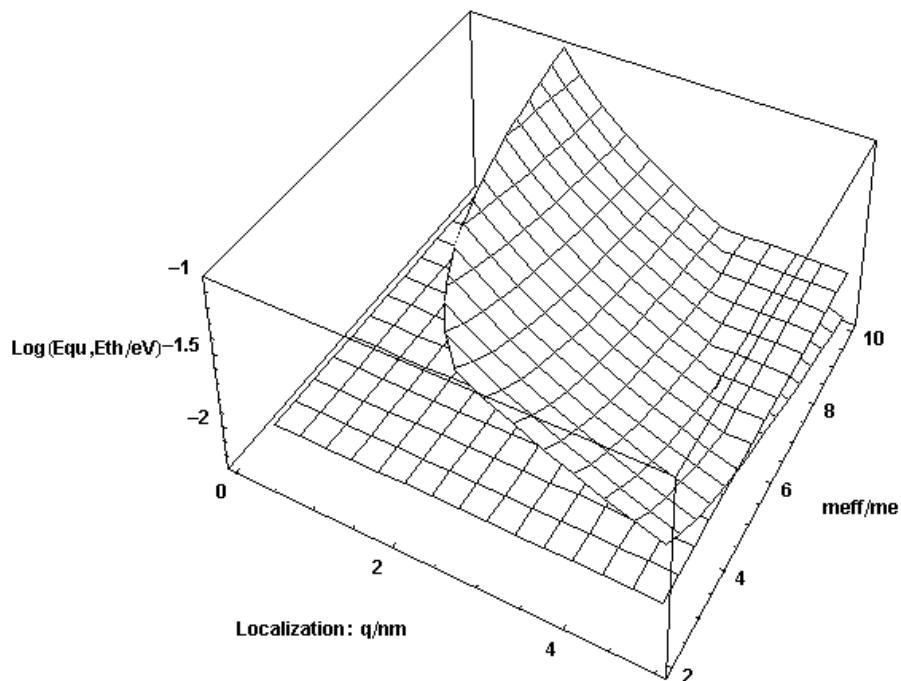
$E_{qu} \ll E_0$       *thermal regime*

$E_{qu} \gg E_0$       *quantal regime*

at *physiological temperature*:  $E_0 \approx 1.3 \cdot 10^{-2} \text{ eV}$

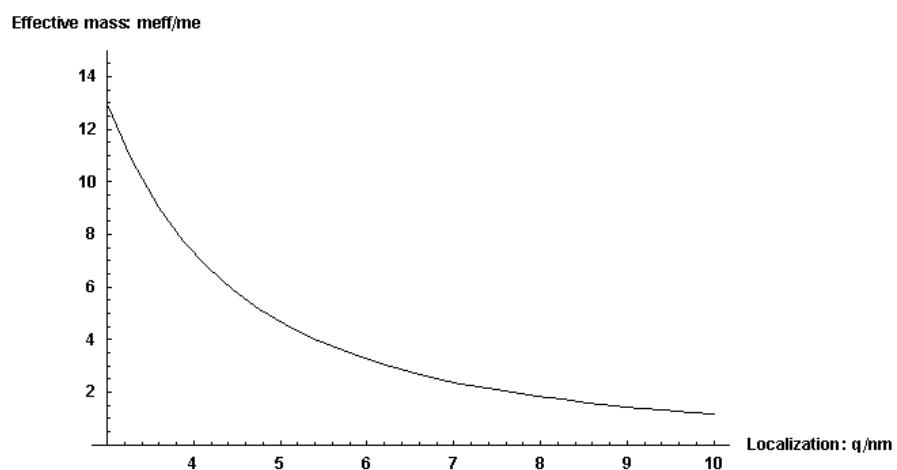
## Numbers:

**Localization in biological microsites**       $\Delta q \sim 3 - 4 n$



**Effektive mass at breaking point**

$$m_{\text{eff}} = \left( \frac{2\pi\hbar}{\Delta q} \right) \frac{1}{2E_0}$$



## Time scales

frequency at the breaking point       $\omega_0 = \frac{E_0}{\hbar} = 2 \cdot 10^{13} \text{ s}^{-1}$

*signal time*       $\tau_0 = 0.3 \text{ ps}$

cellular dynamics

*cellular time*       $\tau_c > 0.4 \text{ ns}$

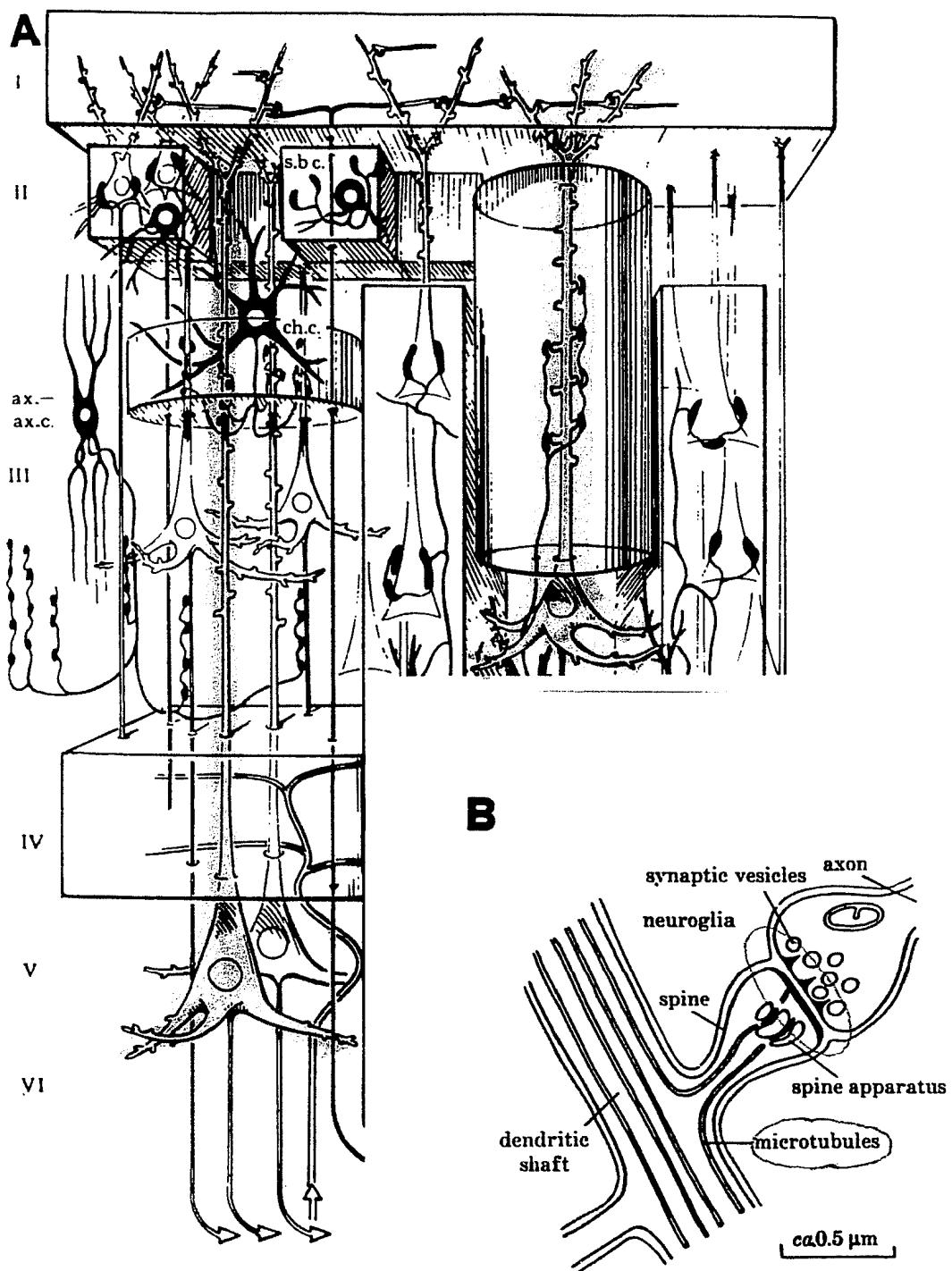
(Tuszynski et al., 1998 :  $E_{cell} \sim 10^{-5} \text{ eV}$ )

*well separated time scales*       $\rightarrow$       *dynamics decouples !*

### 3. Neural Regulators: Synapses and Tubulin Proteins

Simplified sketch of *cortical structure* (Szentagothai, 1978)

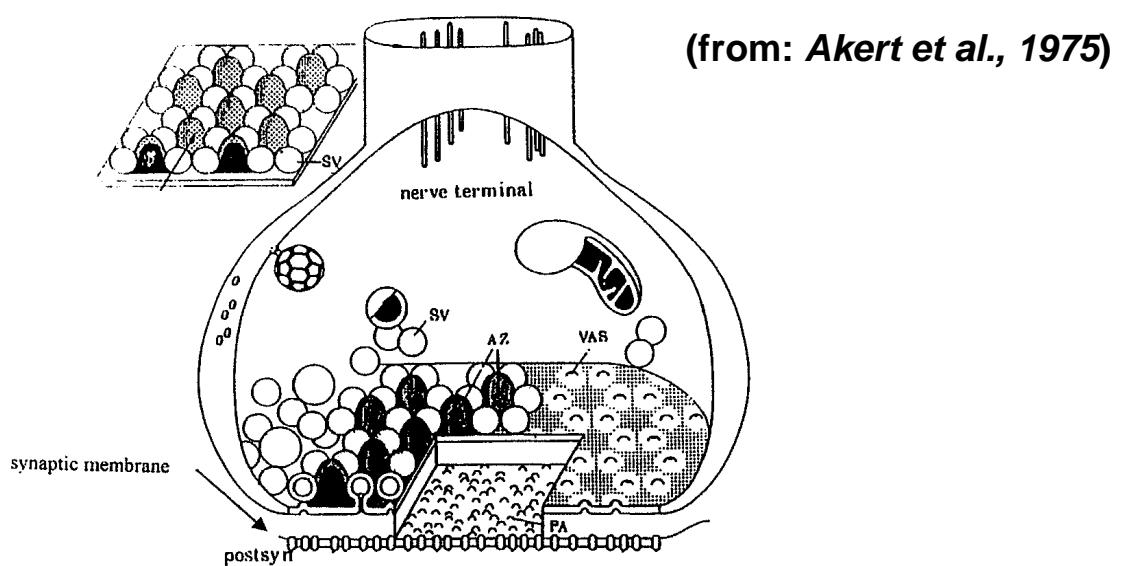
*switches are spine synapses and microtubules* (inset B)



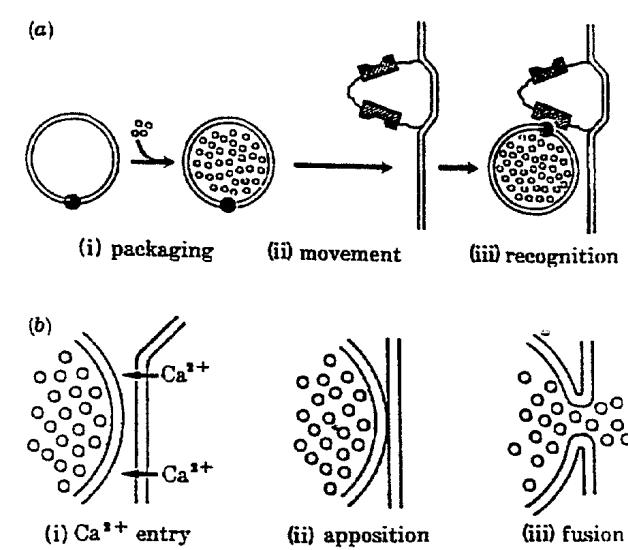
## **The quantal microsites (neural switches)**

### **(a) Spine synapses (Beck & Eccles, 1992)**

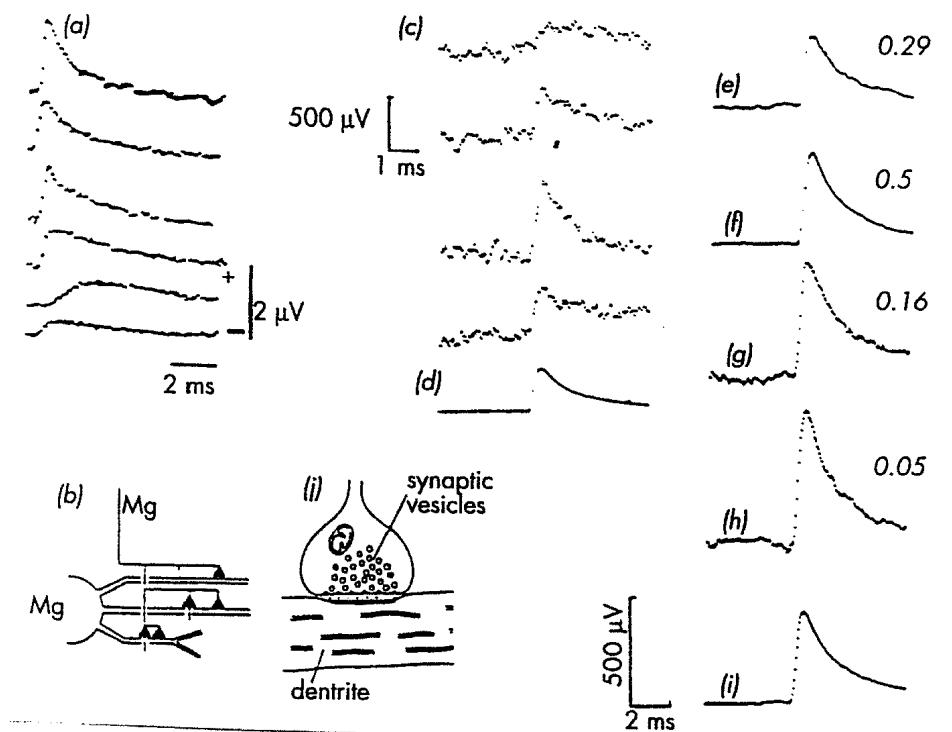
**Exocytosis :** Release of transmitter molecules through synaptic membrane



### **stages of exocytosis**



## Fluctuation analysis of monosynaptic exocytosis (Redman, 1990)

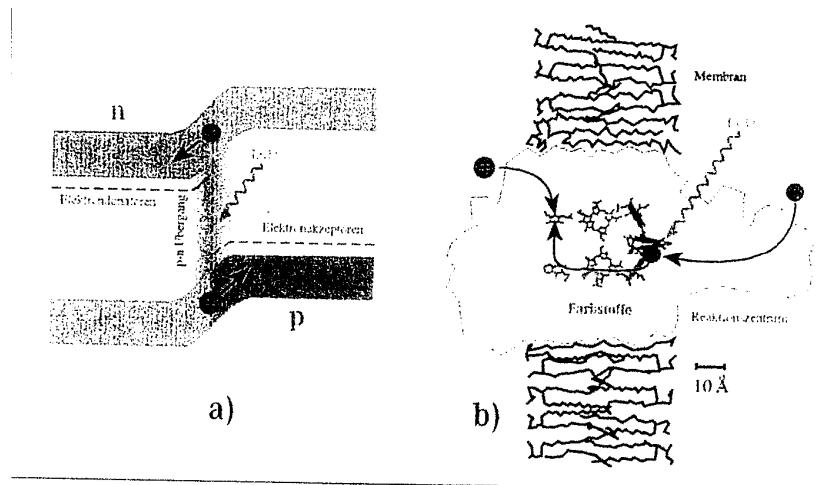


leads to *probabilities for exocytosis per incoming nerve impulse*

$$p_{ex} \ll 1$$

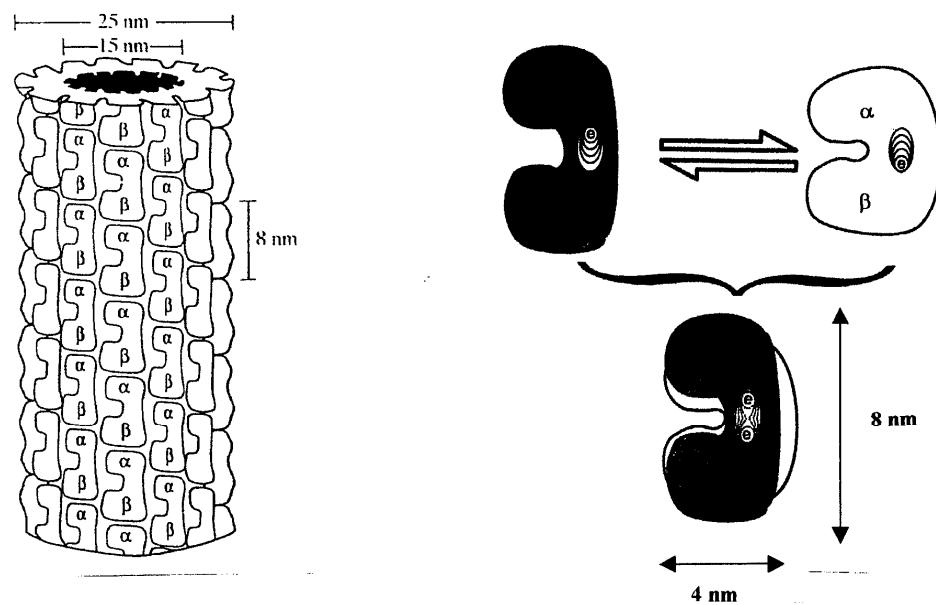
**Exocytosis:** Quantal membrane transport process

**analogue:** photobacterial reaction center (Vos et al., 1993)



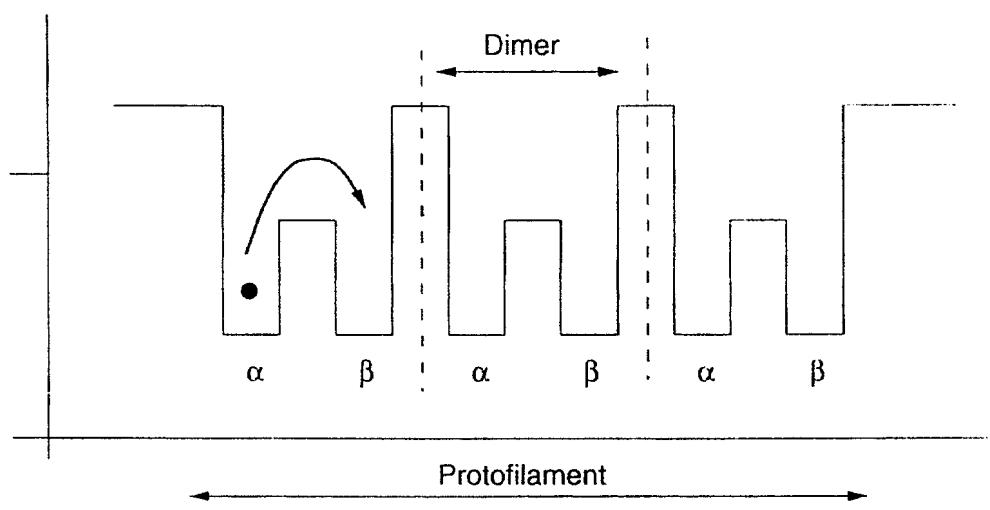
(b) Tubulin dimer (*Hameroff & Penrose, 1994*)

**structure of microtubules**



**tubulin dimer molecule**

**two conformations:  
superposition of quantum states**



**model for electron transfer (*Tuszynski et al., 1989*)**

#### 4. Electron Tunneling: Trigger for Conformal Changes

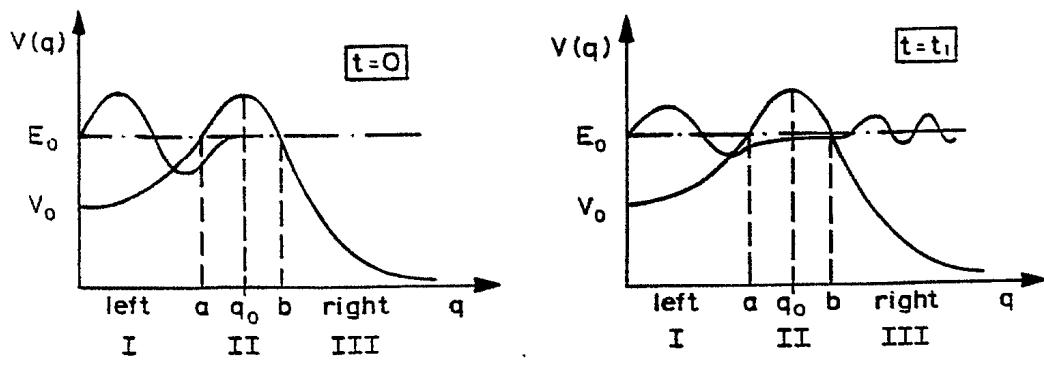
**Synaptic filtering:** initialized by electron tunneling in the activated presynaptic membrane

**Tubulin molecules:** electron transfer within tubulin pocket

**Model:** electron coupled to molecular motion: quasiparticle, mass  $m_{\text{eff}}$  tunneling through activation barrier

**Solution of time-dependent Schroedinger equation in potential  $V(q)$**

$$i\hbar \frac{\partial}{\partial t} \Psi(q; t) = -\frac{\hbar^2}{2M} \frac{\partial^2}{\partial q^2} \Psi(q; t) + V(q) \Psi(q; t)$$



at time  $t_1$ : end of activation period

decomposition of the wavefunction into two coherent parts

$$\Psi(q; t_1) = \Psi_{\text{left}}(q; t_1) + \Psi_{\text{right}}(q; t_1)$$

after reduction (von Neumann collapse):

probability for exocytosis or conformal change

$$p_{\text{ex}}(t_1) = \int |\Psi_{\text{right}}(q; t_1)|^2 dq$$

## Alternative description: separation of electron and nuclear coordinates

**electron coupled to nuclear motion (Franck-Condon principle,  
Marcus theory of electron transfer)**

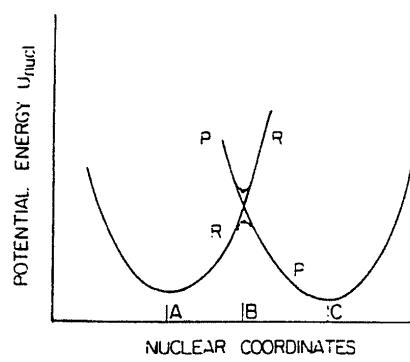
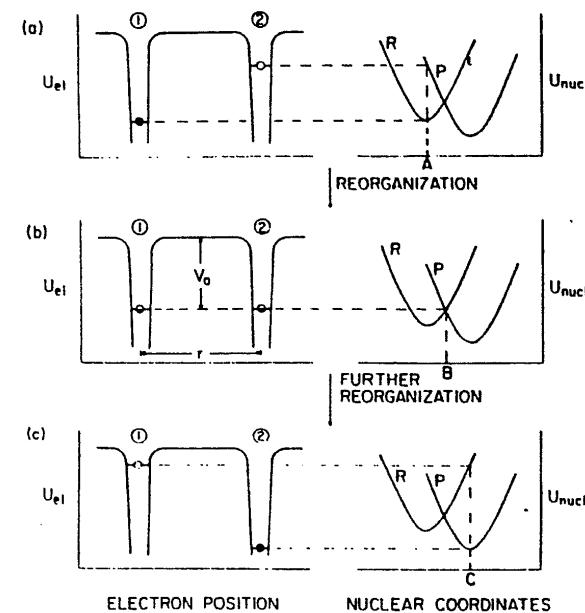


$$\Psi_i \otimes \chi_i(q) \rightarrow \Psi_f \otimes \chi_f(q) ; q : \text{nuclear coordinates}$$

$\Psi, \chi$ : electronic and ionic states, resp.

**transition rate (Fermi's Golden Rule)**

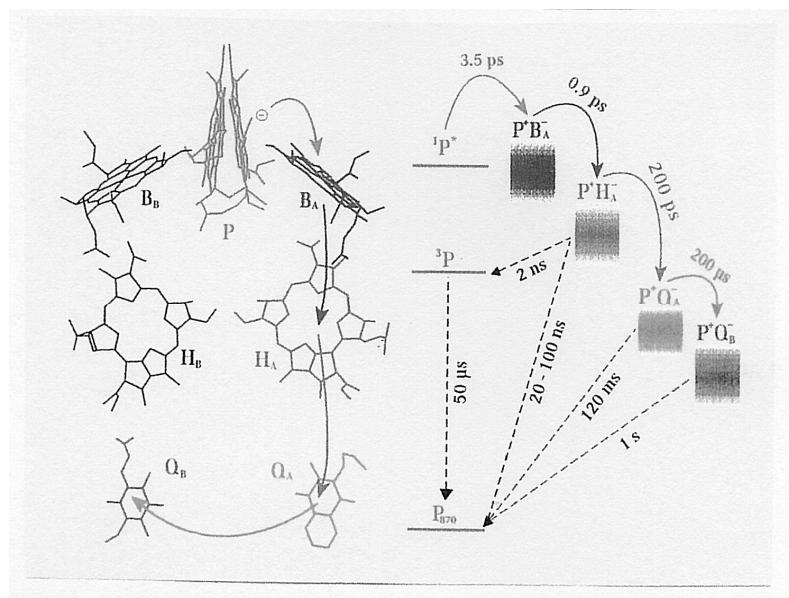
$$w_{fi} = \frac{2\pi}{\hbar} |H_{fi}|^2 \cdot FC ; \quad FC : \text{Franck-Condon factor} \\ (\text{Overlap integrals})$$



Quasiparticle picture:  
electron tunneling potential

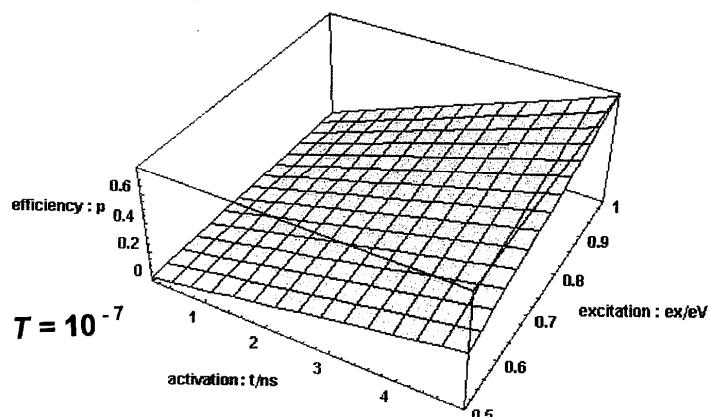
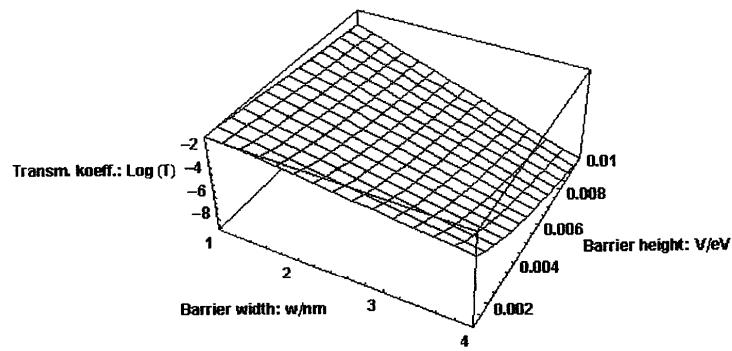
## Numbers:

*time scales for biological reaction center (Vos et al., 1993)*



**results for tunneling model**

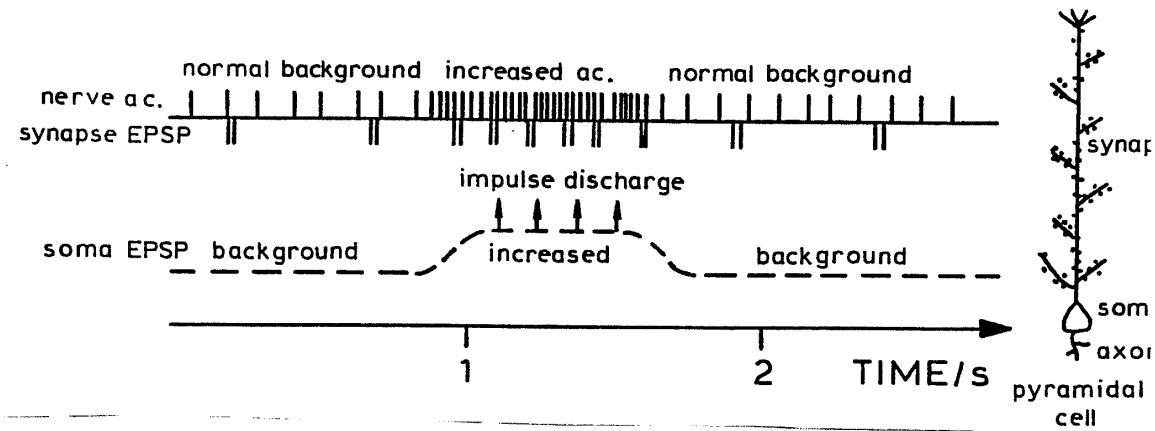
**effective mass fixed:  $m_{\text{eff}} = 5 m_e$  ( $m_e$  electron mass)**



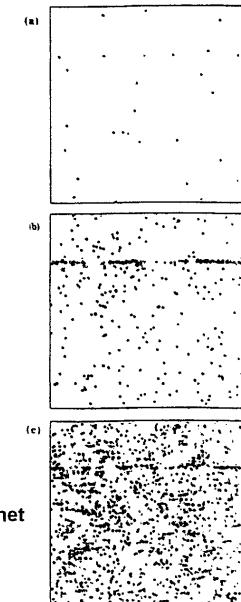
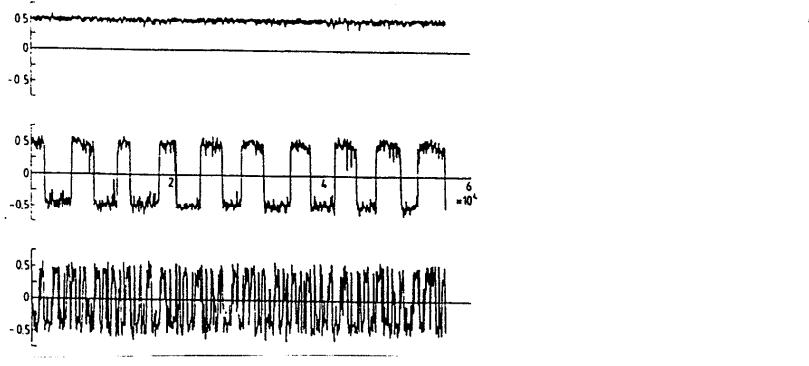
## 5. The Binding Problem: Spatio-temporal Coherence

**Coherence in the neuronal net : self-organization in dendritic bundles of pyramidal cells close to instability and under influence of noise**

### Spontaneous neural firings



**Excitable System : synapses couple groups of neurons and generate stable temporal structures Hopfield-model) amplified by stochastic resonance**



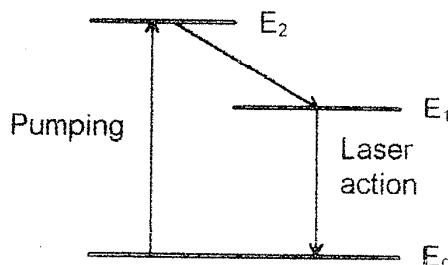
## **Long range coherence in biological systems**

*necessary ingredients:* dissipation and energy supply ("pumping")

pumping  $P(E_1) > P(E_0)$  !

→ inversion

"hotter than  $T = \infty$ "  
→ "negative" temperature



*pumping stabilizes against thermal fluctuations*

*phase synchronization of the laser action possible:* self organization

however: *phase synchronization mediated by classical fields*  
(electromagnetic, molecular, ...)

*spectrum quasi-continuous* → quantum state collapse not possible on this level

**Quantum state collapse needs few, well separated discrete states**

*macroscopic quantum states at room temperature :*  
*laser, Froehlich coherence*

## **6. Conclusions**

- *Macro- and micro-structures have largely different time scales*
- *Microstructure : quantum processes possible on electronic level*

- Neuronal net: *synapses* and *tubulin dimers* regulate couplings between neurons
- *Electron tunneling* can trigger conformal changes in *synaptic membrane* and/or *tubulin molecules*. This is in principle open to experimental verification
- *Binding*: coupling of micro- and macro-structures via *quantum stochastic resonance*

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